



1765

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sinha et al. Group Art Unit: 1765  
Serial No.: 10/028,040 Examiner: Duy Vu Nguyen Deo  
Filed: December 21, 2001 Docket No.: 150.01140101

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JUN 23 2003  
TC 1700

Title: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ An itemized return postcard.  
☐ A Petition for Extension of Time for \_\_ month(s) and a check in the amount of \$\_\_ for the required fee.  
☒ A Supplemental Information Disclosure Statement (2 pgs); 1449 form (1 pg); copies of 12 documents cited on the 1449 form; and a copies of 2 International Search Reports.  
☐ A check in the amount of \$\_\_, for \_\_.  
☐ A certified copy of a \_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.  
☐ Other:  
☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$18 =	
Independent Claims				x \$84 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$280 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on this 17 day of June, 2003.

MUETING, RAASCH & GEBHARDT, P.A.

Customer Number: 26813



26813

PATENT TRADEMARK OFFICE

By:

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(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)



PATENT  
Docket No. 150.01140101  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sinha et al. ) Group Art Unit: 1765  
Serial No.: 10/028,040 ) Examiner: D. Deo  
Confirmation No.: 2997 )  
Filed: December 21, 2001 )  
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING  
SURFACES USING COMPLEXING AGENTS

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the continuing duty of candor and good faith that is to be demonstrated before the United States Patent and Trademark Office (USPTO), enclosed are copies of documents cited in two International Search Reports which are not already of record in the present matter. Enclosed for the Examiner's information is a copy of the search report with the documents. Also enclosed herewith are copies of documents which Applicants bring to the Examiner's attention as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Supplemental Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of MPEP §609, Applicants further request that a copy of the 1449 form, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

**Supplemental Information Disclosure Statement**

Page 2 of 2

Applicant: Sinha et al.

Serial No.: 10/028,040

Confirmation No.: 2997

Filed: December 21, 2002

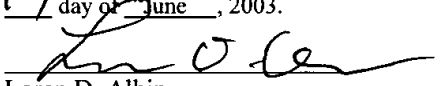
For: METHODS FOR PLANARIZATION OF GROUP VIII METAL-CONTAINING SURFACES USING COMPLEXING AGENTS

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

When the Examiner takes up the present application, consideration of these documents is respectfully requested. The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this 17 day of June, 2003.

  
Loren D. Albin

Respectfully submitted for

**Sinha et al.**

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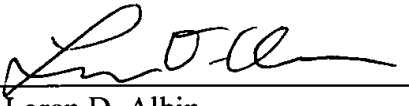
**Customer Number 26813**




**26813**

PATENT TRADEMARK OFFICE

June 17, 2003  
Date

By:   
Loren D. Albin  
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<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> 	Atty. Docket No.: 150.01140101	Serial No.: 10/028,040
	Applicant: Sinha et al.	Confirmation No.: 2997
	Application Filing Date: December 21, 2001	Group: 176
	Supplemental Information Disclosure Statement mailed: June 17, 2003	

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JUN 23 2003  
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## U.S. PATENT DOCUMENTS

Examiner Initial	Copies Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	X	4,747,907	05/31/88	Acocella et al.			
	X	5,527,423	06/18/96	Neville et al.			
	X	5,935,871	08/10/99	Farkas et al.			
	X	5,958,794	09/28/99	Bruxvoort et al.			
	X	6,261,157	07/17/01	Bajaj et al.			
	X	6,306,012	10/23/01	Sabde			
	X	6,436,723	08/20/02	Tomita et al.			
	X	6,454,957	09/24/02	Westmoreland			
	X	6,476,491	11/05/02	Harada et al.			
	X	2002/0017063	02/14/02	Beitel et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Copies Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	X	WO 01/44396	06/21/01	PCT				

## OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copies Enclosed	Document Description
	X	Weast et al., "CRC Handbook of Chemistry and Physics," <i>Chemical Rubber Publishing Company</i> , 1989: D151-154.

<b>EXAMINER</b>	<b>Date Considered</b>
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	